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Elaine C. Stracker

PATENT

Attorney's Docket No.: 00021.06CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rabinowitz et al.

Serial No.: 10/749,783

Filed: 12/30/2003

Title DELIVERY OF DRUG ESTERS THROUGH AN INHALATION
ROUTE

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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with their continuing duty of disclosure under 37 CFR §§ §1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

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Respectfully submitted,

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Elaine C. Stracker J.D., Ph.D., Reg. 43,166

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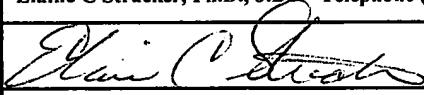
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		Application Number	10/749,783
		Filing Date	12/30/2003
		First Named Inventor	Joshua D. Rabinowitz
		Art Unit	Not yet known
		Examiner Name	To be assigned
Total Number of Pages in This Submission		Attorney Docket Number	
		00021.06CON	

ENCLOSURES (check all that apply)

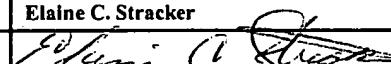
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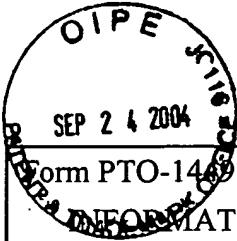
Firm or Individual name	ALEXZA MDC, 1001 East Meadow Circle, Palo Alto, CA 94303 Elaine C Stracker, Ph.D., J.D. Telephone (650)-687-3905		Customer Number 37485
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SEP 24 2004

Form PTO-1469

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00021.06CON		Application Number: 10/749,783
Applicant: Joshua D. RABINOWITZ, et al.		
Filing Date:	12/30/2003	Group Art Unit: Not Yet Known

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	01/05/1965	3,164,600	Janssen			
	2.	02/02/1971	3,560,607	Hartley et al.			
	3.	03/15/1983	4,376,767	Sloan			
	4.	12/27/1983	4,423,071	Chignac et al.			
	5.	07/08/1985	3,894,040	Buzby, Jr.			
	6.	03/31/1987	4,654,370	Marriott, III et al.			
	7.	07/18/1989	4,848,374	Chard et al.			
	8.	01/23/1990	4,895,719	Radhakrishnun et al.			
	9.	04/17/1990	4,917,120	Hill			
	10.	09/17/1991	5,049,389	Radhakrishnun			
	11.	05/12/1992	5,112,598	Biesalski			
	12.	06/30/1992	5,126,123	Johnson			
	13.	02/14/1995	5,388,574	Ingebrethsen			
	14.	08/13/1996	5,544,646	Lloyd et al.			
	15.	03/04/1997	5,607,691	Hale et al.			
	16.	04/22/1997	5,622,944	Hale et al.			
	17.	12/09/1997	5,694,919	Rubsamen et al.			
	18.	04/07/1998	5,735,263	Rubsamen et al.			
	19.	04/14/1998	5,738,865	Baichwal et al.			
	20.	06/29/1999	5,915,378	Lloyd et al.			
	21.	08/10/1999	5,934,272	Lloyd et al.			
	22.	09/28/1999	5,957,124	Lloyd et al.			
	23.	10/05/1999	5,960,792	Lloyd et al.			
	24.	11/30/1999	5,993,805	Sutton et al.			
	25.	03/28/2000	6,041,777	Faithfull et al.			

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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449		Docket Number: 00021.06CON	Application Number: 10/749,783
INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>		Applicant: Joshua D. RABINOWITZ, et al.	
		Filing Date: 12/30/2003	Group Art Unit: Not Yet Known

26.	04/18/2000	6,051,566	Bianco			
27.	08/01/2000	6,095,153	Kessler et al.			
28.	08/15/2000	6,102,036	Slutsky et al.			
29.	06/05/2001	6,241,969	Saidi et al.			
30.	07/03/2001	6,255,334	Sands			
31.	10/08/2002	6,461,591	Keller et al.			
32.	01/14/2003	6,506,762	Horvath et al.			
33.	02/04/2003	6,514,482	Bartus et al.			
34.	07/15/2003	6,591,839	Meyer et al.			
35.	09/06/2001	US 2001/0020147	Staniforth et al.			
36.	03/28/2002	US 2002/0037828	Wilson et al.			
37.	05/16/2002	US 2002/0058009	Bartus et al.			
38.	07/04/2002	US 2002/0086852	Cantor			
39.	08/22/2002	US 2002/0112723	Schuster et al.			
40.	11/28/2002	US 2002/0176841	Barker et al.			
41.	01/02/2003	US 2003/0004142	Prior et al.			
42.	02/13/2003	US2003/0032638	Kim et al.			

RELATED ALEXZA MOLECULAR DELIVERY CORPORATION PATENT DOCUMENTS

43.	08/04/2003	10/633,876	Hale et al.			
44.	08/04/2003	10/633,877	Hale et al.			
45.	12/30/2003	10/749,537	Rabinowitz et al.			
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47.	01/27/2004	10/766,149	Rabinowitz et al.			
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50.	01/27/2004	10/766,574	Rabinowitz et al.			
51.	01/27/2004	10/766,634	Rabinowitz et al.			
52.	01/27/2004	10/766,647	Rabinowitz et al.			
53.	01/28/2004	10/767,115	Rabinowitz et al.			

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		Applicant: Joshua D. RABINOWITZ, et al.			
		Filing Date: 12/30/2003		Group Art Unit: Not Yet Known	

54.	01/29/2004	10/768,205	Rabinowitz et al.			
55.	01/29/2004	10/768,220	Rabinowitz et al.			
56.	01/29/2004	10/768,281	Rabinowitz et al.			
57.	01/29/2004	10/768,293	Rabinowitz et al.			
58.	01/30/2004	10/769,046	Rabinowitz et al.			
59.	01/30/2004	10/769,051	Rabinowitz et al.			
60.	01/29/2004	10/769,157	Rabinowitz et al.			
61.	01/29/2004	10/769,197	Rabinowitz et al.			
62.	02/09/2004	10/775,583	Rabinowitz et al.			
63.	02/09/2004	10/775,586	Rabinowitz et al.			
64.	03/03/2004	10/791,915	Hale et al.			
65.	03/03/2004	10/792,001	Rabinowitz et al.			
66.	03/03/2004	10/792,012	Hale et al.			
67.	03/03/2004	10/792,013	Rabinowitz et al.			
68.	03/03/2004	10/792,096	Hale et al.			
69.	03/03/2004	10/792,239	Hale et al.			
70.	03/31/2004	10/813,721	Rabinowitz et al.			
71.	03/31/2004	10/813,722	Rabinowitz et al.			
72.	03/31/2004	10/814,690	Rabinowitz et al.			
73.	03/31/2004	10/814,998	Rabinowitz et al.			
74.	04/01/2004	10/815,527	Rabinowitz et al.			
75.	04/01/2004	10/816,407	Rabinowitz et al.			
76.	04/01/2004	10/816,492	Rabinowitz et al.			
77.	04/01/2004	10/816,567	Rabinowitz et al.			
78.	08/04/2004	10/912,462	Hale et al.			
79.	01/23/2003	US 2003/0015196	Hodges et al.			
80.	04/03/2003	US 2003/0062042	Wensley et al.			
81.	11/13/2003	US 2003/0209240	Hale et al.			
82.	01/02/2003	US 2003/0000518	Rabinowitz et al.			
83.	01/09/2003	US 2003/0005924	Rabinowitz et al.			

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		Filing Date: 12/30/2003		Group Art Unit: Not Yet Known	

84.	01/09/2003	US 2003/0005925	Hale et al.			
85.	01/09/2003	US 2003/0007933	Rabinowitz et al.			
86.	01/09/2003	US 2003/0007934	Rabinowitz et al.			
87.	01/16/2003	US 2003/0012737	Rabinowitz et al.			
88.	01/16/2003	US 2003/0012738	Rabinowitz et al.			
89.	1/16/2003	US 2003/0012740	Rabinowitz et al.			
90.	01/23/2003	US 2003/0015189	Rabinowitz et al.			
91.	01/23/2003	US 2003/0015190	Rabinowitz et al.			
92.	01/23/2003	US 2003/0017114	Rabinowitz et al.			
93.	01/23/2003	US 2003/0017115	Rabinowitz et al.			
94.	01/23/2003	US 2003/0017116	Rabinowitz et al.			
95.	01/23/2003	US 2003/0017117	Rabinowitz et al.			
96.	01/23/2003	US 2003/0017118	Rabinowitz et al.			
97.	01/23/2003	US 2003/0017119	Rabinowitz et al.			
98.	01/23/2003	US 2003/0017120	Rabinowitz et al.			
99.	1/30/2003	US 2003/0021753	Rabinowitz et al.			
100.	01/30/2003	US 2003/0021754	Rabinowitz et al.			
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102.	02/20/2003	US 2003/0035776	Hodges et al.			
103.	05/15/2003	US 2003/0091511	Rabinowitz et al.			
104.	05/27/2004	US 2004/010148	Hale et al.			
105.	07/31/2003	US 2003/0138382	Rabinowitz			
106.	11/06/2003	US 2003/0206869	Rabinowitz et al.			
107.	01/15/2004	US 2004/0009128	Rabinowitz et al.			
108.	05/20/2004	US 2004/0096402	Hodges et al.			
109.	05/27/2004	US 2004/0099269	Hale et al.			
110.	06/03/2004	US 2004/0105818	Hale et al.			
111.	06/03/2004	US 2004/0105819	Hale et al.			
112.	07/01/2004	US 2004/0126326	Rabinowitz et al.			
113.	07/01/2004	US 2004/0126327	Rabinowitz et al.			

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			Applicant: Joshua D. RABINOWITZ, et al.			
			Filing Date: 12/30/2003		Group Art Unit: Not Yet Known	

	114.	07/01/2004	US 2004/0126328	Rabinowitz et al.			
	115.	07/01/2004	US 2004/0126329	Rabinowitz et al.			
	116.	07/01/2004	US 2004/0127481	Rabinowitz et al.			
	117.	07/01/2004	US 2004/0127490	Rabinowitz et al.			
	118.	08/12/2004	US 2004/0156788	Rabinowitz et al.			
	119.	08/12/2004	US 2004/0156789	Rabinowitz et al.			
	120.	08/12/2004	US 2004/0156791	Rabinowitz et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	121.	11/11/1981	EP 0 039 369	Europe			
	122.	03/14/1990	EP 0 358 114	Europe			
	123.	07/03/2001	EP 1 080 720	Europe			
	124.	08/29/2001	EP 0 606 486	Europe			
	125.	02/14/1985	WO 85/00520	WIPO			
	126.	04/11/1996	WO 96/09846	WIPO			
	127.	05/09/1996	WO 96/13161	WIPO			
	128.	05/09/1996	WO 96/13290	WIPO			
	129.	05/09/1996	WO 96/13291	WIPO			
	130.	05/09/1996	WO 96/13292	WIPO			
	131.	10/03/1996	WO 96/30068	WIPO			
	132.	05/09/1997	WO 97/16181	WIPO			
	133.	08/07/1997	WO 97/27804	WIPO			
	134.	05/28/1998	WO 98/22170	WIPO			
	135.	07/23/1998	WO 98/31346	WIPO			
	136.	08/27/1998	WO 98/36651	WIPO			

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Form PTO-1449				Docket Number: 00021.06CON	Application Number: 10/749,783
				Applicant: Joshua D. RABINOWITZ, et al.	
				Filing Date: 12/30/2003	Group Art Unit: Not Yet Known

137.	09/03/1998	WO 98/37896	WIPO		
138.	12/16/1999	WO 99/64094	WIPO		
139.	01/06/2000	WO 00/00176	WIPO		
140.	01/06/2000	WO 00/00215	WIPO		
141.	05/18/2000	WO 00/27363	WIPO		
142.	05/25/2000	WO 00/28979	WIPO		
143.	05/25/2000	WO 00/29053	WIPO		
144.	09/17/2000	WO 00/47203	WIPO		
145.	11/02/2000	WO 00/64940	WIPO		
146.	11/09/2000	WO 00/66084	WIPO		
147.	12/21/2000	WO 00/76673	WIPO		
148.	01/25/2001	WO 01/05459	WIPO		
149.	06/14/2001	WO 01/41732	WIPO		
150.	01/03/2002	WO 02/00198	WIPO		
151.	03/28/2002	WO 02/24158	WIPO		

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	152.	Bennett, R.L. et al. (1981). "Patient-Controlled Analgesia: A New Concept of Postoperative Pain Relief," <i>Annual Surg.</i> 195(6):700-705.
	153.	Carroll, M.E. et al. (1990), "Cocaine-base smoking in rhesus monkeys: reinforcing and physiological effects," <i>Psychopharmacology (Berl)</i> . 102:443-450.
	154.	Darquenne, C. et al. (1997). "Aerosol Dispersion in Human Lung: Comparison Between Numerical Simulations and Experiments for Bolus Tests," <i>American Physiological Society</i> . 966-974.
	155.	Davies, C.N. et al. (May 1972). "Breathing of Half-Micron Aerosols," <i>Journal of Applied Physiology</i> . 32(5):591-600.
	156.	Dershwitz, M., M.D., et al. (September 2000). "Pharmacokinetics and Pharmacodynamics of Inhaled versus Intravenous Morphine in Healthy Volunteers," <i>Anesthesiology</i> . 93(3): 619-628.
	157.	Finlay, W.H. (2001). "The Mechanics of Inhaled Pharmaceutical Aerosols", Academic Press: San Diego Formula 2.39. pp.3-14 (Table of Contents). pp. v-viii.

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		Applicant: Joshua D. RABINOWITZ, et al.		
		Filing Date: 12/30/2003	Group Art Unit: Not Yet Known	

158.	Gonda, I. (1991). "Particle Deposition in the Human Respiratory Tract," Chapter 176, <u>The Lung: Scientific Foundations</u> . Crystal R.G. and West, J.B. (eds.), Raven Publishers, New York. pp. 2289-2294.
159.	Hatsukami D, et al. (May 1990) "A method for delivery of precise doses of smoked cocaine-base to humans." <i>Pharmacology Biochemistry & Behavior</i> . 36(1):1-7.
160.	Heyder, J. et al. (1986). "Deposition of Particles in the Human Respiratory Tract in the Size Range 0.005-15 μ m," <i>J. Aerosol Sci.</i> 17(5):811-822.
161.	Huizer, H., "Analytical studies on illicit heron. V. Efficacy of volatilization during heroin smoking." <i>Pharmaceutisch Weekblad Scientific Edition</i> (1987). 9(4):203-211.
162.	Hurt, R.D., MD and Robertson, C.R., PhD, (October 1998). "Prying Open the Door to the Tobacco Industry's Secrets About Nicotine: The Minnesota Tobacco Trial," <i>JAMA</i> 280(13):1173-1181.
163.	Lichtman, A.H. et al. (1996). "Inhalation Exposure to Volatilized Opioids Produces Antinociception in Mice," <i>Journal of Pharmacology and Experimental Therapeutics</i> . 279(1):69-76.
164.	Martin, B.R. and Lue, L.P. (May/June 1989). "Pyrolysis and Volatilization of Cocaine," <i>Journal of Analytical Toxicology</i> 13:158-162.
165.	Mattox, A.J. and Carroll, M.E., (1996). "Smoked heroin self-administration in rhesus monkeys," <i>Psychopharmacology</i> , 125:195-201.
166.	Meng, Y. et al. "Inhalation Studies With Drugs of Abuse," <i>NIDA Research Monograph</i> , (1997) 173:201-224.
167.	Meng, Y., et al. (1999). "Pharmacological effects of methamphetamine and other stimulants via inhalation exposure," <i>Drug and Alcohol Dependence</i> . 53:111-120.
168.	Office Action mailed 8/13/2003 for US application 10/153,313 filed 05/21/2002 "DELIVERY OF BENZODIAZEPINES THROUGH AN INHALATION ROUTE"
169.	Pankow, J.F. et al. (1997). "Conversion of Nicotine in Tobacco Smoke to Its Volatile and Available Free-Base Form Through the Action of Gaseous Ammonia," <i>Environ. Sci. Technol.</i> 31:2428-2433.
170.	Pankow, J. (March 2000). ACS Conference-San Francisco-3/26/00. Chemistry of Tobacco Smoke. pp. 1-8.
171.	Seeman, J. et al. (1999). "The Form of Nicotine in Tobacco. Thermal Transfer of Nicotine and Nicotine Acid Salts to Nicotine in the Gas Phase," <i>J. Agric. Food Chem.</i> 47(12):5133-5145.
172.	Sekine, H. and Nakahara, Y. (1987). "Abuse of Smoking Methamphetamine Mixed with Tobacco: 1. Inhalation Efficiency and Pyrolysis Products of Methamphetamine," <i>Journal of Forensic Science</i> 32(5):1271-1280.
173.	Ward, M.E. MD, et al. (December 1997). "Morphine Pharmacokinetics after Pulmonary Administration from a Novel Aerosol Delivery System," <i>Clinical Pharmacology & Therapeutics</i> 62(6):596-609.

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174.	Wood, R.W. et al. (1996). "Generation of Stable Test Atmospheres of Cocaine Base and Its Pyrolyzate, Methylecgonidine, and Demonstration of Their Biological Activity." <i>Pharmacology Biochemistry & Behavior</i> . 55(2):237-248.
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EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.